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**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Liang Shi et al.Application No./Patent No.: 10/055,109 Filed/Issue Date: January 23, 2002Entitled: Method for Non-Redundant Library ConstructionSyngenta Participations AG, a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

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B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

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The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

7/23/03

Date

919-765-5071

Telephone number

Mary Kakefuda on behalf of Syngenta

Participations AG

Mary Kakefuda

Signature

Patent Attorney

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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RECORDATION DATE: 06/06/2002

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NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
SHI, LIANG

DOC DATE: 05/17/2002

ASSIGNOR:  
WANG, XUN

DOC DATE: 05/17/2002

ASSIGNOR:  
LI, BI-YU

DOC DATE: 05/17/2002

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SERIAL NUMBER: 10055109  
PATENT NUMBER:

FILING DATE: 01/23/2002  
ISSUE DATE:

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THERESA FREDERICK, EXAMINER  
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To the honor of the Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Liang SHI  
Xun WANG  
Bi-yu LI

## 2. Name and address of receiving party(ies)

Name: Syngenta Participations AG

Internal Address: \_\_\_\_\_

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:



Assignment



Merger



Security Agreement



Change of Name



Other \_\_\_\_\_

Street Address: Schwarzwaldallee 215CH-4058 Basel, SWITZERLAND

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s) 10/055,109

B. Patent No.(s) \_\_\_\_\_

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Torrey Mesa Research InstituteInternal Address: Patent DepartmentStreet Address: 3115 Merryfield RowCity: San Diego State: CA Zip: 921216. Total number of applications and patents involved: 7. Total fee (37 CFR 3.41). . . . . \$ 40.00

Enclosed



Authorized to be charged to deposit account

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## 9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*James E. Butler

Name of Person Signing

James E. Butler  
Signature17 MAY 2002

Date

Total number of pages including cover sheet, attachments, and documents: 

06/12/2002 LNUELLER 00000108 502021 10055109

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ASSIGNMENT

We,

Liang Shi	residing at	5260 Camino Exquisito San Diego, CA 92130
Xun Wang	residing at	12524 Caminito Vista Soledad San Diego, CA 92130
Bi-Yu Li	residing at	4185 Decoro Street, Apt. 38 San Diego, CA 92122

for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, do hereby sell and assign to **Syngenta Participations AG**, a company organized under the laws of the Swiss Confederation, having a place of business at Schwarzwaldallee 215, CH-4058 Basel, Switzerland, its successors, assigns and legal representatives, all our right, title and interest, which includes the right to and full benefit of such priorities as may now or hereafter be granted to us by local laws or by treaty, including any international convention for the protection of industrial property, in and for the United States and its territories and possessions in and to the invention entitled:

**“METHOD FOR NON-REDUNDANT LIBRARY CONSTRUCTION”**

invented by us and described in the application for United States Letters Patent

Application Serial No. 10/055,109, filed on January 23, 2002,

including (1) said application for United States Letters Patent and all continuations and divisions thereof (including further continuations and divisions such as, but not limited to, continuations of continuations and divisions of continuations), (2) all United States Letters Patent which may be issued and/or granted on all such applications, (3) all applications for reissues and extensions of and reexamination certificates for all such United States Letters Patent and (4) all reissues and extensions and reexamination certificates issued for all such United States Letters Patent, the said interest being the entire ownership of said invention and all of said applications, United States Letters Patent (including reissue Letters Patent), extensions and reexamination certificates to be held and enjoyed by the said Syngenta Participations AG and its successors and assigns to the full end of the terms to which said United States Letters Patent (including reissue Letters Patent), extensions and reexamination certificates may be granted and/or issued, as fully and entirely as the



IN TESTIMONY WHEREOF, I have hereunto set my hand this 17 day of May, 2002.

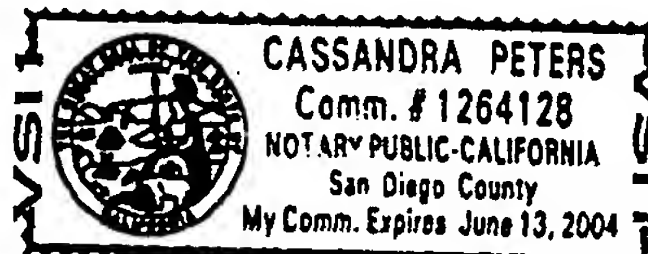
  
\_\_\_\_\_  
Xun Wang


STATE OF California  
)ss.

COUNTY OF San Diego

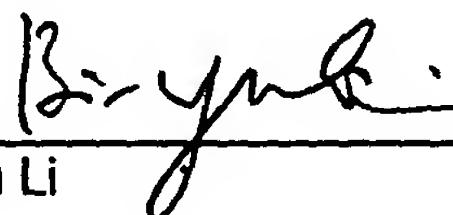
On this 17<sup>th</sup> day of May, 2002 before me personally appeared Xun Wang to me known and known to me to be the person described in and who executed the foregoing instrument, and he/she duly acknowledged to me that he/she executed the same for the uses and purposes therein set forth.

[SEAL]



  
\_\_\_\_\_  
Notary Public

IN TESTIMONY WHEREOF, I have hereunto set my hand this 17 day of May, 2002.

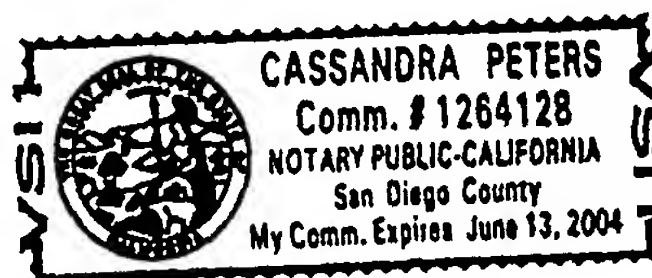
  
\_\_\_\_\_  
Bi-Yu Li


STATE OF California  
)ss.

COUNTY OF San Diego

On this 17<sup>th</sup> day of May, 2002 before me personally appeared Bi-Yu Li to me known and known to me to be the person described in and who executed the foregoing instrument, and he/she duly acknowledged to me that he/she executed the same for the uses and purposes therein set forth.

[SEAL]



  
\_\_\_\_\_  
Notary Public